

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom et al. Art Unit : 3693
Serial No. : 10/001,900 Examiner : Sara M. Chandler
Filed : November 14, 2001 Conf. No. : 8995
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
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TRANSMITTAL

The enclosed terminal disclaimer disclaims the terminal portion of the term of the entire patent to be granted upon the present application subsequent to the expiration date of "U.S. Patent Nos. 7,249,086" or "7,680,725" OR "the patent to issue from U.S. application serial no. 10/077,182," as stated in the terminal disclaimer. In the examiner's rejections in this case, no obvious type double patenting rejections were made over "U.S. Patent Nos. "7,680,725." The enclosed the terminal disclaimer, also disclaims the terminal portion of the term of the entire patent to be granted upon the present application subsequent to the expiration date of U.S. Patent Nos. "7,680,725".

The fee for the terminal disclaimer is being paid concurrently on the electronic filing system by way of deposit account authorization. Please apply any other charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: March 16, 2010

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I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: March 16, 2010